

01-23-02

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JC658 U.S. PAT. &amp; TRADE MARK OFF. COPYRIGHTS



01/18/02

ATTORNEY DOCKET: 14240

J1017 U.S. PTO  
10/053176  
01/18/02ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

EXPRESS MAIL NO: EL881625575US

Sir:

Transmitted herewith for filing is the patent application of  
Inventor/Owner: MCKINLEY, Kevin L.

For: FLUID FILTRATION DEVICE

Enclosed are:

- ☒ 2 sheet(s) of informal drawings.
- ☐ An assignment of the invention to
- ☐ A certified copy of a application.
- ☒ Inventor claims small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
- ☒ Declaration/Statement and Power of Attorney.
- ☒ Specification and Claims.
- ☐ \_\_\_ copies of prior art references listed on the PTO 1449 Form.

The filing fee has been calculated as shown below:

		<u>SMALL ENTITY</u>		<u>OTHER THAN A</u>	
		<u>Rate</u>		<u>Rate</u>	
		<u>Fee</u>		<u>Fee</u>	
FOR:					
<u>BASIC FEE</u>		\$ 370.00		\$	
<u>TOTAL CLAIMS</u>	-20*	x 9= \$		x18= \$	
<u>INDEP CLAIMS</u>	- 3*	x39= \$		x78= \$	
<input type="checkbox"/> <u>MULTIPLE DEP. CLAIM PRESENTED</u>		x130= \$		x260= \$	
		<u>Assignment</u>		\$	

\*If the difference in Col 1.

is less than zero, enter "0"  
in Col 2.

TOTAL \$ 370.00

TOTAL \$

- ☐ Please charge my Deposit Account No. 02-2273 in the amount of \$.
- A duplicate copy of this sheet is enclosed.
- ☒ PTO Forms PTO-2038 (dup.) and PTO/SB/17 (dup.).
- ☒ The Commissioner is hereby authorized to charge any additional required fees associated with this communication or any fees arising during the pending of this application, or credit any overpayment to Account No. 02-2273. A duplicate copy of this sheet is enclosed.
- ☒ EXCEPT the issue fee set forth in 37 CFR 1.18 only upon the return of the executed Issue Fee Transmittal Form PTOL 85b, under 37 CFR 311(a) to the office.
- ☒ Non-Publication Request (37 C.F.R. §1.213)
- ☒ Post Card.

Date:

15 Jan 2002

THEODORE J. BIELEN, JR.  
Reg. No. 27,420

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	15 January 02
First Named Inventor	McKINLEY
Examiner Name	
Group Art Unit	
Attorney Docket No.	14240

TOTAL AMOUNT OF PAYMENT

(\$) 370.00

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710 201 355		Utility filing fee	370.00
106 320 206 160		Design filing fee	
107 490 207 245		Plant filing fee	
108 710 208 355		Reissue filing fee	
114 150 214 75		Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18 203 9		Claims in excess of 20
102 80 202 40		Independent claims in excess of 3
104 270 204 135		Multiple dependent claim, if not paid
109 80 209 40		** Reissue independent claims over original patent
110 18 210 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for <i>ex parte</i> reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 390 216 195		Extension for reply within second month	
117 890 217 445		Extension for reply within third month	
118 1,390 218 695		Extension for reply within fourth month	
128 1,890 228 945		Extension for reply within fifth month	
119 310 219 155		Notice of Appeal	
120 310 220 155		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,240 241 620		Petition to revive - unintentional	
142 1,240 242 620		Utility issue fee (or reissue)	
143 440 243 220		Design issue fee	
144 600 244 300		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Processing fee under 37 CFR 1.17(q)	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279 355		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)	THEODORE A. BIELEN, JR.	Registration No. (Attorney/Agent)	27,420	Telephone	(925) 937-1515
Signature	<i>Theodore A. Bielen, Jr.</i>	Date	15 Jan. 02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

J1017 U.S. PTO  
10/053176  
01/18/02

Atty Dkt: 14240

**CERTIFICATE OF MAILING BY  
EXPRESS MAILING**

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10/053176  
01/18/02

I hereby certify that this correspondence is being deposited with the United States Postal Service as "EXPRESS MAIL" in an envelope addressed to BOX PATENT APPLICATION, U.S. PATENT AND TRADEMARK OFFICE, P.O. Box 2327, Arlington, VA 22202 on January 18, 2002. Express Mail No. EL881625575US.

By:

  
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THEODORE J. BIELEN, JR.

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By:

  
\_\_\_\_\_  
THEODORE J. BIELEN, JR.

200516-011802